

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION**

DOCKET NUMBER: 2328.2004-001

Applicant or Patentee: Samson Mil'shtein and Joel Therrien
Application or Patent No.: 10/050,691
Filed or Issued: January 16, 2002
Title: METHOD OF CORRELATION OF IMAGES IN BIOMETRIC APPLICATIONS

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION University of Massachusetts Lowell
ADDRESS OF NONPROFIT ORGANIZATION One University Avenue
Lowell, Massachusetts 01854

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C.501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

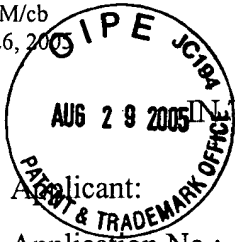
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Louis J. Petrovic, Ph.D.

TITLE IN ORGANIZATION OF PERSON SIGNING Director, Office of Commercial Ventures and Intellectual Property/Lowell

ADDRESS OF PERSON SIGNING 600 Suffolk Street, Second Floor, South, Lowell, Massachusetts 01854

SIGNATURE  DATE 7/25/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Samson Mil'shtein and Joel Therrien

Application No.: 10/050,691

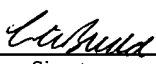
Group: 2625

Filed: January 16, 2002

Examiner: Chawan, Sheela C.

Confirmation No.: 5125

For: METHOD OF CORRELATION OF IMAGES IN BIOMETRIC
APPLICATIONS

| | |
|---|--|
| CERTIFICATE OF MAILING OR TRANSMISSION | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: | |
| <u>August 26, 2005</u> | <u></u> |
| Date | Signature |
| <u>CHRISTINE A. BUDD</u> | |
| Typed or printed name of person signing certificate | |

TRANSMITTAL OF ISSUE FEE PAYMENT AND
SMALL ENTITY STATEMENT


Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, in addition to payment of the Issue Fee in the amount of \$1,000 which is enclosed herewith, enclosed is a newly executed Small Entity Statement.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Joseph M. Maraia
Registration No. 55,926
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: 8/26/05